

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3
FILED
JAN 31 2002
PATENT & TRADEMARK OFFICE
SC1A
FILING COMPLETION UNDER RULE 53(f)(NOT PCT Applications)
For Design, Provisional, or Utility ApplicationsPATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION
Inventor(s): ASAY et al.
Appln. No.: 09 | 943,086
Series Code ↑ | Serial No. ↑

Atty. Dkt. P 268225

RM-1
M# Client RefFiled: August 31, 2001
Title: RELIANCE SERVER FOR ELECTRONIC TRANSACTION SYSTEMHon. Commissioner of Patents
Washington, DC 20231

Date: January 31, 2002

Sir: The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** copy attached not yet received
 2. Signed Declaration attached. Original Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A. Attached: A Copy of the Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. Specification originally filed in non-English language; hence verified translation attached of:
 a. Abstract
 b. # pages of Specification (only spec. & claims)
 c. Drawing(s) No of Sheets 02/05/2002 SDENB0B1 00000074 09943086
 Fig(s.) No of Sheets 03 FC:116 400.00 DP

4. Letter filing formal drawing attached.
 5. Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 09/206,381	December 7, 1998	(2) 08/767,257	December 13, 1996
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in _____

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. (No.) Certified copy (copies): attached; previously filed (date) filed on _____

in U.S. Application No. _____

10. Small Entity Status is Not claimed is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. Attached:

12. Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity	Fee Code
13. Basic Filing Fee		Design Application	\$330/\$165		106/26
		Not Design Application	\$740/\$370	+740	101/201
14. Total Effective Claims	1	minus 20 =	0	x \$18/\$9	+0
15. Independent Claims	1	minus 3 =	0	x \$84/\$42	+0
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+130	105/205
				FILING FEE ENCLOSED =	\$870
18.					
19. Original due date:	December 1, 2001				
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached		(1 mo)	\$110/\$55 =	+400	115/215
		(2mos)	\$400/\$200 =		116/216
		(3mos)	\$920/\$460 =		117/217
		(4mos)	\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.			\$40	+40	581
23. Petition Fee for			\$130	+0	
				TOTAL FEE ENCLOSED =	\$1310
24.					

Our Deposit Account No. 03-3975
Our Order No. 61047

268225

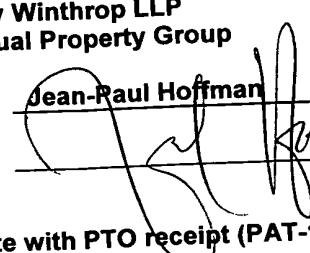
C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: Jean-Paul Hoffman

Sig: 

Reg. No. 42663

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McLean, VA 22102
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Atty/Sec: JH/ksh

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

Sector



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,086	08/31/2001	Alan Asay	P 268225 RM-1

Intellectual Property Group
 Pillsbury Winthrop LLP
 1600 Tysons Boulevard
 McLean, VA 22102

CONFIRMATION NO. 8118
 FORMALITIES LETTER



OC00000006820343

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 SDENB0B1 00000074 09943086

01 FC:101
02 FC:105740.00 OP
130.00 OP